

J1130 U.S. PTO
12/11/01

12/14/01 A

Please type a plus sign (+) inside this box → +

PTO/SB/05 (03-01)
Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	3869-026
First Inventor	Wright et al
Title	Methods and Apparatus for Stroke Patient Treatment
Express Mail Label No.	

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. Applicant claims small entity status.
See 37 CFR 1.27.
3. Specification [Total Pages 25]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (*if filed*)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. Drawing(s) (35 U.S.C. 113) [Total Sheets 7]
5. Oath or Declaration [Total Pages]
- a. Newly executed (original or copy)
- b. Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 - i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & document(s))
10. 37 CFR 3.73(b) Statement Power of
(when there is an assignee) Attorney
11. English Translation Document (*if applicable*)
12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS
Citations
13. Preliminary Amendment
14. Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. Nonpublication Request under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-In-part (CIP) of prior application No.: _____ / _____

Prior application information: Examiner _____ Group Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label	<i>(Insert Customer No. or Attach bar code label here)</i>			or	<input checked="" type="checkbox"/> Correspondence address below
Name	Gottlieb, Rackman & Reisman, P.C.				
Address	270 Madison Avenue				
City	New York	State	NY	Zip Code	10016-0601
Country		Telephone	212-684-3900	Fax	212-684-3999
Name (Print/Type)	Raymond B. Churchill, Jr.	Registration No. (Attorney/Agent)	44,617		
Signature	<i>Raymond B. Churchill, Jr.</i>			Date	12/11/2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

JC781 U.S. PTO
10/01/4684

12/11/01

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 3,008.00)

Complete if Known

Application Number	pending
Filing Date	December 11, 2001
First Named Inventor	Wright et al.
Examiner Name	pending
Group Art Unit	pending
Attorney Docket No.	3869-026

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **07-1730**

Deposit Account Name _____

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
 Applicant claims small entity status. See 37 CFR 1.27

2. Payment Enclosed:

Check Credit card Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101	710	201 355 Utility filing fee	740.00
106	320	206 160 Design filing fee	_____
107	490	207 245 Plant filing fee	_____
108	710	208 355 Reissue filing fee	_____
114	150	214 75 Provisional filing fee	_____

SUBTOTAL (1) (\$ 740.00)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
104	-20** = 84	x 18.00	1,512.00
Independent Claims 12	- 3** = 9	x 84.00	= 756.00
Multiple Dependent			_____

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103	18	203 9 Claims in excess of 20
102	80	202 40 Independent claims in excess of 3
104	270	204 135 Multiple dependent claim, if not paid
109	80	209 40 ** Reissue independent claims over original patent
110	18	210 9 ** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 2,268.00)

*or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

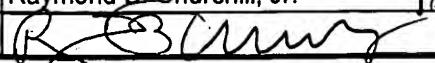
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105	130	205 65 Surcharge - late filing fee or oath	0.00
127	50	227 25 Surcharge - late provisional filing fee or cover sheet	_____
139	130	139 130 Non-English specification	_____
147	2,520	147 2,520 For filing a request for ex parte reexamination	_____
112	920*	112 920* Requesting publication of SIR prior to Examiner action	_____
113	1,840*	113 1,840* Requesting publication of SIR after Examiner action	_____
115	110	215 55 Extension for reply within first month	_____
116	390	216 195 Extension for reply within second month	_____
117	890	217 445 Extension for reply within third month	_____
118	1,390	218 695 Extension for reply within fourth month	_____
128	1,890	228 945 Extension for reply within fifth month	_____
119	310	219 155 Notice of Appeal	_____
120	310	220 155 Filing a brief in support of an appeal	_____
121	270	221 135 Request for oral hearing	_____
138	1,510	138 1,510 Petition to institute a public use proceeding	_____
140	110	240 55 Petition to revive - unavoidable	_____
141	1,240	241 620 Petition to revive - unintentional	_____
142	1,240	242 620 Utility issue fee (or reissue)	_____
143	440	243 220 Design issue fee	_____
144	600	244 300 Plant issue fee	_____
122	130	122 130 Petitions to the Commissioner	_____
123	130	123 130 Petitions related to provisional applications	_____
126	180	126 180 Submission of Information Disclosure Stmt	_____
581	40	581 40 Recording each patent assignment per property (times number of properties)	_____
146	710	246 355 Filing a submission after final rejection (37 CFR § 1.129(a))	_____
149	710	249 355 For each additional invention to be examined (37 CFR § 1.129(b))	_____
179	710	279 355 Request for Continued Examination (RCE)	_____
169	900	169 900 Request for expedited examination of a design application	_____
Other fee (specify) _____			

SUBTOTAL (3) (\$ 0)

*Reduced by Basic Filing Fee Paid

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Raymond B. Churchill, Jr.	Registration No. (Attorney/Agent)	44,617	Telephone	212-684-3900
Signature		Date	Dec. 11, 2001		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.